On-chip polarization splitter based on a multimode plasmonic waveguide

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The miniaturization of polarization beam splitters (PBSs) is vital for ultradense chip-scale photonic integrated circuits. However, the small PBSs based on complex hybrid plasmonic structures exhibit large fabrication difficulties or high insertion losses. Here, by designing a bending multimode plasmonic waveguide, an ultrabroadband on-chip plasmonic PBS with low insertion losses is numerically and experimentally realized. The multimode plasmonic waveguide, consisting of a metal strip with a V-shaped groove on the metal surface, supports the symmetric and antisymmetric surface plasmon polariton (SPP) waveguide modes in nature. Due to the different field confinements of the two SPP waveguide modes, which result in different bending losses, the two incident SPP waveguide modes of orthogonal polarization states are efficiently split in the bending multimode plasmonic waveguide. The numerical simulations show that the operation bandwidth of the proposed PBS is as large as 430 nm because there is no resonance or interference effect in the splitting process. Compared with the complex hybrid plasmonic structure, the simple bending multimode plasmonic waveguide is much easier to fabricate. In the experiment, a broadband (Δλ ≈ 120 nm) and low-insertion-loss (<3 dB with a minimum insertion loss of 0.7 dB) PBS is demonstrated by using the strongly confined waveguide modes as the incident sources in the bending multimode plasmonic waveguide. © 2017 Chinese Laser Press

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1. INTRODUCTION

Polarization division multiplexing (PDM) plays an important role in the field of chip-scale photonic integrated circuits (PICs) [1–3]. As an essential component for PDM, the polarization beam splitter (PBS) has attracted enormous attention [2,4,5]. Recently, a lot of PBSs have been reported by utilizing various dielectric waveguide structures, including directional couplers [6,7], multimode interference devices [8,9], adiabatic mode evolution devices [10,11], Mach–Zehnder interferometers [12,13], and photonic crystals [14]. The footprints of the PBSs based on these dielectric waveguide structures are much greater than the wavelengths because of the diffraction limit, which greatly decreases the integration density of the PICs. Surface plasmon polaritons (SPPs), which can significantly shrink the device dimensions because of the subwavelength field confinements [15], show great potential in improving the integration density of the PICs [16,17]. Because of the polarization dependence of the SPPs, a variety of hybrid plasmonic waveguide structures, which supported both the transverse magnetic (TM) and transverse electric (TE) waveguide modes, were designed to downscale the footprints of the PBSs [18–22]. For example, by introducing silver cylinders in the two-silicon-waveguide structure to construct a hybrid waveguide, the PBS with a footprint of only about 3.5 μm × 1.9 μm was numerically predicted based on directional coupling [19]. By covering a metal strip on the silicon waveguide to form the hybrid waveguide, the footprint of the PBS was shrunk to about 2.5 μm × 1.8 μm with using multimode interference [21]. By utilizing the combined hybrid plasmonic waveguide consisting of two Si–SiO₂–Ag structures, the footprint of the PBS was numerically reduced to about 0.9 μm × 0.9 μm and the bandwidth was up to 400 nm in theory based on the different spatial distributions of the two modes [24]. However, these small PBSs [18–22,24] numerically predicted in the hybrid waveguide structures are difficult to fabricate in the experiment because of the complexity of the hybrid structures. To decrease the fabrication difficulty, other mechanisms, such as the resonator effect and the double-slit interference effect, were explored to experimentally realize the PBSs in the planar hybrid air–polymer–metal waveguide structures [25].
and the hybrid strip waveguide [26]. By using the hybrid strip waveguide, the footprints of the PBSs were significantly shrunk to about 0.3 μm × 1.3 μm based on double-slit interference [26]. However, the coupling efficiency from the bulky free-space incident beam (with a typical cross-sectional dimension of at least a few wavelengths) to the subwavelength structures was inherently low (<4% for TE mode) [25,26], resulting in large insertion losses. Moreover, the operating bandwidths of the PBSs in the experiments [25,26] were smaller than 50 nm due to the resonant effect [25] and the interference effect [26].

In this paper, an ultrabroadband on-chip PBS with low insertion losses is experimentally realized by using a multimode plasmonic waveguide, which supports two orthogonal SPP waveguide modes with different field confinements. Here, the strongly localized waveguide modes are utilized as the incident sources, greatly decreasing the insertion loss because of the inherent matching between the incident sources and the plasmonic waveguide modes. Due to the different field confinements of the two orthogonal SPP waveguide modes, the two SPP modes coming from the input plasmonic waveguide are split by the bending multimode plasmonic waveguide structure. As a result, the polarization splitting is experimentally realized. Since there is no resonance or interference in the polarization splitting process, the operation bandwidth of the plasmonic PBS is fundamentally broad.

2. PROPOSAL AND SIMULATION

The proposed multimode plasmonic waveguide is depicted in Fig. 1(a), where a metal strip with a V-shaped groove is designed on the metal surface. The width and height of the metal strip are w and h, respectively, and the depth and angle of the V-shaped groove are d and θ, respectively. The properties of the multimode plasmonic waveguide at different wavelengths are numerically studied with the finite element method of COMSOL Multiphysics. The permittivity of gold with the wavelength is taken from the experimental results [27]. Due to the fabrication limit, the corners of the metal strip and the sharp corner of the V-shaped groove are rounded with a radius of r. When the structural parameters of the plasmonic waveguide are w = 700 nm, h = 400 nm, d = 400 nm, θ = 16°, r = 200 nm, and r = 5 nm, two waveguide modes are supported at λ = 900 nm, as shown in Figs. 1(b) and 1(c). One is the symmetric waveguide mode, and the other is the antisymmetric waveguide mode. It should be pointed out that both the symmetric and antisymmetric modes in the proposed waveguide are plasmonic modes in nature [32]. From Figs. 1(b) and 1(c), it is observed that the power flow of the symmetric SPP waveguide mode is mainly confined in the outer corners of the metal strip, similar to that of the metallic double-strip waveguide [32]. However, the power flow of the antisymmetric SPP waveguide mode is tightly confined in the V-shaped groove, similar to that of the channel plasmon-polariton waveguides [28–31].

The power overlap factors of the two SPP modes are very small (e.g., C ≈ 10.5% at λ = 900 nm) [32]. Simultaneously, it can be seen that the field confinement of the antisymmetric SPP waveguide mode is much tighter than that of the symmetric SPP waveguide mode. Hence, the effective refractive index of the antisymmetric SPP waveguide mode (n_eff = 1.15) is greater than that of the symmetric SPP waveguide mode (n_eff = 1.03). Moreover, the polarizations of the two SPP waveguide modes are nearly orthogonal to each other, as shown by the green arrows (denoting the electric vectors) in Figs. 1(b) and 1(c). Therefore, the proposed multimode plasmonic waveguide supports two SPP waveguide modes with orthogonal polarization states and different field confinements.

By varying the wavelengths, the modal properties of the plasmonic waveguides are numerically calculated in detail, including the effective refractive indices (n_eff), propagation lengths (L_spp), and field confinements (S). The calculated results are displayed in Figs. 1(d)–1(f). From Fig. 1(d), it is observed that the effective refractive indices of the symmetric SPP waveguide mode are always smaller than that of the antisymmetric SPP waveguide modes because of the different field confinements. The antisymmetric SPP waveguide mode is cut off for λ > 1190 nm because its effective index is smaller than that
of the SPP mode on the flat metal surface [green dashed line in Fig. 1(d)] [32,33]. It should be noted that the higher-order mode is also supported by the multimode plasmonic waveguide for $\lambda < 740$ nm. The propagation lengths of the symmetric SPP waveguide mode are larger, and they increase greatly with the increase of the wavelength [black line in Fig. 1(e)], owing to the poor field confinements at long wavelengths [black line in Fig. 1(f)]. However, the propagation lengths of the antisymmetric SPP waveguide mode are much smaller than those of the symmetric waveguide mode because of the tight field confinements ($S < 0.03\lambda^2$) [red line in Fig. 1(f)]. In addition, it is observed that the propagation lengths of the antisymmetric SPP waveguide mode increase slowly with the increase of the wavelength, as shown by the red line in Fig. 1(e).

Due to the different field confinements, the bending losses $[-10 \times \log(T)]$ of the two SPP modes are quite different, where $T$ is the transmittance of the bending multimode plasmonic waveguide structure. Figure 2(a) shows the schematic of the bending multimode plasmonic waveguide with a radius of $R$, and the inset is its top view. The calculated transmittances of the two SPP waveguide modes in the bending waveguide structure ($w = 700$ nm, $h = 400$ nm, $d = 400$ nm, $\theta = 16^\circ$, and $r = 5$ nm) at different bending radii and bending angles are displayed in Fig. 2(b) ($\lambda = 900$ nm). Here, the eigenwaveguide modes (symmetric and antisymmetric modes) are set at the incident port in the simulation. It can be seen that the transmittance of the antisymmetric SPP waveguide mode (solid lines) through the bending waveguide structure is greater than that of the symmetric SPP waveguide mode (dashed lines) because of the different bending losses. For the antisymmetric SPP waveguide mode, the propagation loss dominates the bending loss due to the small propagation lengths. With the increase of the bending radius, the transmittance of the antisymmetric SPP waveguide mode decreases because of the increased propagation distance ($R\Delta t$). However, the transmittance of the symmetric SPP waveguide mode increases slowly with the increase of the bending radius because the radiation loss dominates the bending loss, as shown in Fig. 2(b). For the fixed bending radius, the transmittances of the two SPP modes increase with the decrease of the bending angle. It should be pointed out that the transmittances of the antisymmetric waveguide mode at small bending radii are a little greater than 1.0 because of the mode conversion from the antisymmetric SPP waveguide mode (with a small propagation length of $L_{\text{pp}} \approx 6.0 \mu$m) to the corner waveguide mode (with a large propagation length of $L_{\text{pp}} \approx 29.0 \mu$m) [34,35]. To get a large transmittance for the antisymmetric waveguide mode and a small transmittance for the symmetric waveguide mode, the bending angle is chosen to be $\alpha = 30^\circ$. The bending radius is chosen to be $R = 2 \mu$m. In this case, the power flows of the symmetric and antisymmetric waveguide modes above the multimode plasmonic waveguide are displayed in Figs. 2(c) and 2(d). From Fig. 2(c), the symmetric waveguide mode coming from the input multimode plasmonic waveguide is partly converted into the corner mode at the bending waveguide part. The converted corner mode propagates along the outer corners of the bending waveguide, and the transmittance is about 15%. However, the antisymmetric waveguide mode can pass through the bending waveguide with a high transmittance of about 90% because of the tight field confinements, as shown in Fig. 2(d).

Based on different bending losses of the two orthogonal SPP modes, the PBS comprising one bending plasmonic waveguide and one direct-contacting waveguide is designed, as shown in Fig. 3(a). The top view of the designed structure is depicted in Fig. 3(b). The two orthogonal SPP modes coming from the input multimode plasmonic waveguide can be split by the PBS because of the different bending losses. For the antisymmetric SPP waveguide mode, it mainly propagates along the bending waveguide and then is output from Port 2 in the
30° inclined waveguide due to its small bending loss. While, the symmetric SPP waveguide mode coming from the input plasmonic waveguide mainly propagates along the direct-contacting waveguide due to its large bending loss, and it is output from Port 1 in the horizontal waveguide. To efficiently guide the symmetric SPP waveguide mode to propagate along the direct-contacting waveguide, a gap (gap length = 1400 nm, gap width = 100 nm, and gap depth = 400 nm) between the bending waveguide and the 30° inclined waveguide is designed. Moreover, in order to improve the extinction ratio, no V-shaped groove is designed in the output horizontal waveguide, and a grating (period of 400 nm) is designed in the outer corners of the output 30° inclined waveguide [32], as shown in Figs. 3(a) and 3(b).

To test the validity of the proposed structure \((R = 2 \, \mu m\) and \(\alpha = 30°\)), the power flows of the symmetric and antisymmetric SPP waveguide modes at \(\lambda = 900 \, nm\) are simulated, and the results are shown in Figs. 3(c) and 3(d). It is observed that the symmetric SPP waveguide mode coming from the input multimode plasmonic waveguide mainly goes along the horizontal waveguide, while the antisymmetric waveguide mode coming from the input multimode plasmonic waveguide can pass through the bending waveguide part and mainly propagates along the 30° inclined waveguide. This phenomenon agrees well with the above analysis. The extinction ratio of the symmetric SPP waveguide mode in the polarization splitting process is \(10 \times \lg(P_{s1}/P_{s2}) \approx 11.5 \, dB\), and the insertion loss is as low as \(-10 \times \lg(P_{s1}) \approx 1.0 \, dB\). For the antisymmetric SPP waveguide mode, the extinction ratio and insertion loss are \(10 \times \lg(P_{a2}/P_{a1}) \approx 9.4 \, dB\) and \(-10 \times \lg(P_{a2}) \approx 0.9 \, dB\), respectively. Furthermore, the normalized output powers of the symmetric and antisymmetric SPP waveguide modes \((P_s\) and \(P_a\) at different wavelengths are displayed in Figs. 3(e) and 3(f). In the calculation, the corresponding straight waveguides with the same lengths are used as the reference waveguides for the symmetric and antisymmetric waveguide modes [35,36]. From Fig. 3(e), it is observed that the normalized output power of the symmetric waveguide mode from Port 1 \((P_{s1})\) is much greater than that from Port 2 \((P_{s2})\) from \(\lambda = 740 \, nm\) to \(\lambda = 1170 \, nm\). However, this phenomenon is just the opposite for the antisymmetric SPP waveguide mode, as shown in Fig. 3(f). As a result, the two orthogonal SPP modes are efficiently split by the proposed structure based on the multimode plasmonic waveguide in a broad bandwidth of about 430 nm. In such a broad bandwidth, the insertion losses are lower than 1.6 dB, and the extinction ratios are greater than 5.0 dB, as shown in Figs. 3(e) and 3(f). From the field distributions in Figs. 3(c) and 3(d), no interference patterns or field enhancements are observed. Moreover, no periodical oscillations or resonant peaks are observed in the response spectra with a large wavelength range from 740 to 1170 nm, as shown in Figs. 3(e) and 3(f). The above phenomena reveal that there is no resonance or interference in the polarization splitting process, which is the reason for the broadband response. Further simulations show that the polarization splitter can work well at \(\lambda = 900 \, nm\) for \(400 \, nm \leq \nu \leq 2000 \, nm\), \(200 \, nm \leq \nu \leq 2000 \, nm\), \(250 \, nm \leq d \leq 800 \, nm\), \(5° \leq \theta \leq 20°\), and \(0 \, nm \leq r \leq 15 \, nm\). This large structural tolerance coincides with the broad bandwidth of the proposed polarization splitter. It should be pointed out that the above simulation aims mainly in the central wavelength of 900 nm because of the response of the CMOS and the propagation loss of the SPPs. However, the working mechanism can be extended to the telecom wavelength \((\lambda = 1.55 \, \mu m\), and the simulation shows that the performances of the polarization splitter around \(\lambda = 1.55 \, \mu m\) are comparable to that around \(\lambda = 900 \, nm\).

3. EXPERIMENT AND RESULTS

Next, we further verify our proposal in the experiment. The proposed structure based on the multimode plasmonic waveguide in Fig. 3(a) is fabricated by focused ion beams (FIBs) in a 450 nm thick gold film. The gold film with a 30 nm thick titanium adhesion layer is deposited on a glass substrate by magnetron sputtering. Compared with the complex hybrid plasmonic structure, the simple bending multimode plasmonic waveguide is much easier to fabricate. Figure 4(a) displays the scanning electron microscopy (SEM) image of the fabricated structure, which comprises the proposed PBS (green dashed rectangle), one input waveguide, one output horizontal waveguide, and one output 30° inclined waveguide. The measured bending radius and bending angle are about \(R = 2 \, \mu m\) and \(\alpha = 30°\), respectively. The period of the reflected grating is about 400 nm. Hence, the footprint of the PBS is only about 3.7 \(\mu m \times 1.9 \, \mu m\), as shown by the green dashed rectangle in

![Fig. 4.](image-url)
Fig. 4(a). This footprint is comparable to that in the previous experimental reports [5,35]. To excite two SPP modes, a grating with a period of about 740 nm is etched on the input waveguide, as shown in Fig. 4(a). The separation between the excited grating and the bending waveguide is about 8 μm. Two decoupling gratings (period of about 740 nm) are fabricated on the horizontal waveguide and the 30° inclined waveguide. Then, the incident light is focused on the excited grating from the front side by an objective (Olympus 100×, NA = 0.8), and the focused spot diameter is about 1.5 μm. The symmetric and asymmetric SPP waveguide modes can be excited by the p- and s-polarized incident light, respectively [32]. The two SPP waveguide modes propagate along the plasmonic waveguide until being scattered by the decoupling grating. The scattered light is collected by the same objective (Olympus 100×, NA = 0.8) and then imaged by a lens (f = 10 cm) onto a CMOS. The captured optical images for the symmetric and antisymmetric SPP waveguide modes at three typical wavelengths are displayed in Figs. 4(c)–4(h). It should be pointed out that the incident power, integration time, and CMOS response at different wavelengths [Figs. 4(c)–4(h)] are not the same. Under the p-polarized incident light [Figs. 4(c), 4(e), and 4(g)], the symmetric SPP waveguide mode is excited by the excited grating, and the decoupling grating on the output horizontal waveguide is much brighter than that on the output inclined one. This phenomenon indicates that the excited symmetric waveguide mode mainly propagates along the horizontal waveguide. On the contrary, the decoupling grating on the output inclined waveguide is much brighter than that on the output horizontal one under the s-polarized incident light [Figs. 4(d), 4(f), and 4(h)], indicating that the excited antisymmetric waveguide mode mainly goes along the 30° inclined waveguide. Therefore, the symmetric and antisymmetric SPP modes guided by the input multimode plasmonic waveguide are efficiently split by the proposed structure. It should be noted that the p-polarization has consistently stronger intensity than the s-polarization. The reason is that the propagation length of the antisymmetric mode ($L_{\text{upp}} = 6.0 \, \mu m$) is much smaller than that of the symmetric mode ($L_{\text{upp}} = 32.8 \, \mu m$). At $\lambda = 960 \, nm$, the extinction ratios are calculated to be about 6.3 and 12.5 dB for the symmetric and antisymmetric waveguide modes, respectively, and the corresponding insertion losses are as low as about 0.7 and 0.9 dB, respectively, which are much smaller than that in the previous experimental works [23,25,26,32,35].

To quantificationally investigate the performance of the proposed PBS, the normalized powers of the scattered light from the decoupling gratings are measured at different wavelengths for the p- and s-polarized incident light, and the results are displayed in Figs. 5(a) and 5(b). Here, to exclude the differences (incident power, integration time, and CMOS response), the reference samples are used to normalize the scattered powers. For the p-polarized incident light, the normalized powers of the scattered light from the decoupling grating on the output horizontal waveguide are much greater than that on the output inclined waveguide in the wavelength range from 750 to 1000 nm, as shown in Fig. 5(a). This agrees well with the simulation results [Fig. 3(e)], indicating that the excited symmetric SPP waveguide mode mainly propagates along the horizontal waveguide. For the s-polarized incident light, the normalized powers of the scattered light from the decoupling grating on the output inclined waveguide are much greater than that on the output horizontal waveguide in the wavelength range from 870 to 1000 nm, as shown in Fig. 5(b). This agrees well with the simulation results [Fig. 3(f)], indicating that the excited antisymmetric SPP waveguide mode mainly propagates along the inclined waveguide. However, for the small wavelengths (<850 nm), the normalized powers of the scattered light from the decoupling grating on the output inclined waveguide are smaller than that on the output horizontal waveguide.
[Fig. 5(b)]. This deviation from the simulation results [Fig. 3(f)] stems from the appearance of the higher-order mode at the short wavelengths because of the increased groove depth and decreased angle of the V-shaped groove in the experiment.

The properties of the SPP mode in the real experimental waveguide ($\theta = 6^\circ$, $r = 5 \text{ nm}$, $d = 430 \text{ nm}$, and radius of round corners of the waveguide being 50 nm) are simulated. It is found that the higher-order mode are supported at $\lambda = 830 \text{ nm}$, as shown in Fig. 5(c). Moreover, the effective refractive indices of the SPP modes in the plasmonic waveguide at different wavelengths are calculated, as shown in Fig. 5(d). Besides the symmetric (black line) and antisymmetric (red line) SPP modes, the higher-order SPP mode is also supported for short wavelengths, as shown by the blue line in Fig. 5(d). With the increase of the corner radius and angle of the V-shaped groove, the cutoff wavelength of the higher-order mode decreases. Here, the radius of the V-shaped groove corner of $r = 5 \text{ nm}$ is estimated by the cutoff wavelength of the higher-order mode.

Based on the distribution of the electric vector [green arrows in Fig. 5(c)], the higher-order mode can be excited by the s-polarized incident light. More importantly, a part of power flows of the higher-order mode are distributed in the outer corners of the waveguide. As a result, the higher-order mode can be easily converted to the symmetric mode in the horizontal waveguide when it passes the bending part [34,37]. Because of the mode conversion and the small propagation loss of the symmetric mode, the output normalized power from the symmetric mode, the output normalized power from the symmetric mode is tightly confined for any wavelengths, as shown in Fig. 1(f). The maximum extinction ratio can be up to 5.0 dB. Such an ultrabroadband on-chip plasmonic PBS to about 430 nm (insertion loss < 1.6 dB and extinction ratio > 5.0 dB) in theory. In the experiment, an ultrabroadband (about 120 nm) PBS was realized. Moreover, since the strongly confined waveguide modes instead of the bulk free-space light were utilized as the incident sources, the insertion losses were greatly decreased to be smaller than 3 dB (with the minimum insertion loss of 0.7 dB). Such an ultrabroadband on-chip plasmonic PBS with low insertion losses would find important applications in the PICs.

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