Bi-channel near- and far-field optical vortex generator based on a single plasmonic metasurface

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With the recent development of the metasurface, generating an optical vortex in optical far or near fields is realized in various ways. However, to generate vortices in both the near and far fields simultaneously is still a challenge, which has great potential in the future compact and versatile photonic system. Here, a bi-channel optical vortex generator in both the near and far fields is proposed and demonstrated within a single metasurface, where the surface plasmon vortex and the far-field optical vortex can be simultaneously generated under circularly polarized light. The ability of generating vortices with arbitrary topological charges is experimentally demonstrated, which agrees well with simulations. This approach provides great freedom to integrate different vortex generators in a single device, and offers new opportunities for integrated optical communications, trapping, and other related fields.

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1. INTRODUCTION

Light beams that have a helical phase front and possess orbital angular momentum (OAM) are called optical vortex beams or OAM light. Different from the ordinary circularly polarized beam with spin angular momentum $\pm \hbar$ (the sign represents left or right circular polarization), the vortex beam can carry arbitrary OAM with a value of $l \hbar$ per photon [1,2], where $l$ is the topological charge value. And because of the helical phase front, a phase singularity appears at the center of the beam and causes a point of zero intensity. With these unique properties, vortex beams have revealed potential applications in broadband optical communications [3–5], super-resolution imaging [6,7], optical trapping [8–11], and quantum information technology [12,13]. To fully utilize the outstanding characteristics of vortex beams, it is highly desired to design and fabricate multifunctional vortex generators that have small size for the demand of minimization and integration of modern photonics.

In recent years, flat optics based on the metasurface has attracted great interest because of the flexibility of manipulating the phase, polarization, and amplitude of light at the subwavelength scale [14–18]. With the Pancharatnam–Berry phase principle, which is also known as the geometric phase, the carefully arranged subwavelength artificial structures can tailor the phase distribution pixel by pixel on an ultra-thin metasurface, which has shown the versatile ability to realize a wide range of applications for far-field light and near-field surface plasmon polaritons (SPPs), including unidirectional SPPs launching [15], anomalous refraction [14,19,20], metalens [21–26], and holograms [27–30]. An important application of the metasurface is to generate vortex beams, and different kinds of vortex generators based on metasurfaces have been proposed for far-field scattered light or near-field SPPs [18,31–37], such as generating of multiple vortices [33,34] and surface plasmon (SP) vortex [31,32,38]. However, the bridging role of the plasmonic metasurface between the near field and far field, which results from its unique ability of controlling near-field SPPs as well as far-field scattered light, has barely been exploited. There is an increasing demand for integration of the vortex generator with...
other photonic systems, such as light emitters and optical fibers [39–42], which is a key requirement for future versatile communication and information technology based on OAM light. Because plasmonic metasurfaces have the ability to support controlling of near-field SPPs and far-field scattered light, the vortex generator based on the plasmonic metasurface that can work at both near and far fields is a promising candidate to OAM source for future integration of plasmonic circuits and other photonic systems.

2. DESIGN AND RESULTS

In this work, we propose a design for a bi-channel optical vortex generator that can generate vortices with arbitrary configuration of topological charges in both the near and far fields using a single metasurface structure. By combining the spatially variant geometric phases of meta-atoms in both the near and far fields, the proposed metasurface can tailor the phase distribution to satisfy the OAM light with a designed topological charge for both near-field SPPs and far-field scattered light. Nanoslits that perforated in Au film were used to constitute this metasurface. Scanning near-field optical microscopy (SNOM) and far-field measurements were implemented to demonstrate this bi-channel near- and far-field vortex generator (NFVG). The proposed vortex generator can be designed to generate vortices with arbitrary topological charges in both near and far fields, which provides great potential for applications of OAM light in future integrated plasmonics and photonics.

A. Bi-Channel Near- and Far-Field Vortex Generator Design

Figure 1(a) shows the schematic of the designed metasurface for an integrated bi-channel near- and far-field optical vortex generator. The building blocks of this NFVG are nanoslits that perforated in Au film, which is shown in Fig. 1(b). The constitutive nanoslit has a width of 80 nm and a length of 250 nm, and the height of 120 nm equals the thickness of the Au film. When the incident light illuminates from the glass side, the nanoslit simultaneously stimulates SPPs in the near field that propagates along the metallic surface, and scatters as the incident light into the far field. Therefore, in this work, the SPP field and scattered light field are also called the near field and far field, respectively. In particular, for circular polarization (CP) incidence, the nanoslit generates SPPs with local geometric phase \( \sigma \theta \) in the near field [15,43], and simultaneously scatters light with geometric phase \( 2 \theta \) of the cross-polarized light in the far field [17,26], where \( \sigma \) represents the spin state of incident light, for right-circular polarization (RCP) \( \sigma = +1 \), and left-circular polarization (LCP) \( \sigma = -1 \). \( \theta \) is the orientation angle of the nanoslit relative to the \( x \) axis as shown in Fig. 1(c).

Here, we first consider a metasurface structure that can generate an SP vortex with OAM \( l_1 \). Assuming the incident light is circularly polarized, SPPs excited by different nanoslits interfere constructively with the spiral phase profile of \( l_1 \). Then, by using the near-field geometric phase, the phase distribution satisfies the following equation:

\[
\sigma \theta + k_{spp} \rho + \Phi_P(O, P) = \Phi_1 + 2\pi m + l_1 \phi,
\]

where \( k_{spp} \) is the SPP wave vector given by \( k_{spp} = 2\pi /\lambda_{spp} \) and \( \lambda_{spp} \) is the wavelength of SPPs. \( r \) and \( \phi \) are the distance from a nanoslit to the center point \( O(0, 0) \) and the azimuthal angle of the nanoslit relative to the \( x \) axis, respectively. \( \Phi_P(O, P) \) is an antiphase term with value 0 or \( \pi \) dependent on the relative position and orientation of the nanoslit located at point \( P \) to the center point \( O \) [43]. \( m \) is an integer and \( \Phi_1 \) is a constant value. On the other hand, we consider a focused vortex beam in the far field. When the structure can generate a vortex light with OAM \( l_2 \) in the far field, with the geometric phase of far-field scattered light, the equation of phase distribution can be written as

\[
2\sigma \theta + k \sqrt{r^2 + f^2} = \Phi_2 + 2\pi n + l_2 \phi,
\]

where \( k \) is the wave vector of light in free space given by \( k = 2\pi /\lambda \) and \( \lambda \) is the wavelength of incident light. \( f \) is the designed focal length of the far-field focused vortex. \( n \) is an integer and \( \Phi_2 \) is a constant value. In order to integrate the near-field SP vortex and far-field optical vortex generators in a single metasurface structure, Eqs. (1) and (2) should be satisfied simultaneously. By combining these two phase distribution equations and eliminating the orientation angle \( \theta \), the position \((r, \phi)\) that satisfied both Eqs. (1) and (2) can be obtained as

\[
2k_{spp} \rho + 2\Phi_P(O, P) - k \sqrt{r^2 + f^2} = 2(\Phi_1 + l_1 \phi) - (\Phi_2 + l_2 \phi) + 2\pi M.
\]

Here, \( M = 2m - n \), which is an integer. When the design parameters (mainly including \( f, l_1, l_2 \), and wavelength) are given, Eq. (3) can determine the position \((r, \phi)\) for eligible nanoslits. Then, the orientation angle \( \theta \) of the nanoslits array can be obtained from Eq. (2) with the position \((r, \phi)\), which
should also fulfill Eq. (1). As a result, the designed NFVG with near-field OAM topological charge \( l_1 \) and far-field OAM topological charge \( l_2 \) can be determined by the geometrical parameters \((r, \phi, \theta)\) of eligible nanoslits. It is worth noting that the orbital angular momentum conservation law is not required between the near- and far-field vortices, which is because the far-field vortex is not a result of the near to far propagation of the near-field vortex. In fact, the near-field vortex is an evanescent field, which is bound electromagnetic waves propagating at the metal surface with exponential field decay normal to the surface and cannot propagate to the far field. Therefore, the near- and far-field vortices are independent, so that our method can be applied flexibly for different topological charge configurations of both vortices.

To examine the feasibility of our proposed idea, an NFVG for the near-field vortex with the topological charge \( l_1 = 3 \) and far-field focused vortex with the topological charge \( l_2 = 2 \) under an LCP incident beam at 671 nm was designed. The focal length \( f \) for the far-field focused vortex is 20 \( \mu \text{m} \). Figure 2(a) shows the profile of the designed metasurface that satisfies Eqs. (1) and (2) when the incidence is LCP light (\( \sigma = -1 \)). The distance between two adjacent nanoslits is set to 450 nm, which can ensure the phase modulation at the sub-wavelength scale and reduce the influence of coupling between nanoslits. The inner and outer radii \( R_1, R_2 \) are the distance from the center to the nearest and farthest nanoslits, which are chosen to be 3.5 and 9 \( \mu \text{m} \) for this metasurface, respectively. It is noteworthy that when the number of nanoslits is small, the metasurface shows poor performance for vortex generating because of low symmetry of nanoslits distribution. It is important to optimize the value of \( R_1 \) and \( R_2 \) when the distance \( d \) is chosen, which affects the number of nanoslits directly. In our design, we chose \( R_1 \) as 3.5 \( \mu \text{m} \), and simulated the vortex generator with different \( R_2 \) (\( R_2 = 5, 7, 9 \mu \text{m} \)). The performance is expected to be better when there are more nanoslits. From the simulations, the selected \( R_2 \) of 9 \( \mu \text{m} \) is large enough for generating vortices of high quality and also keeps the NFVG a small size, which is also proper for our practical fabrication. Therefore, we choose 3.5 and 9 \( \mu \text{m} \) as the optimized values of \( R_1 \) and \( R_2 \) from the numerical simulation results. In this work, for the simulations and experiments, we choose LCP light as incidence, and the far-field vortex is simulated and measured in cross-polarization (i.e., RCP).

**B. Simulation of a Designed NFVG**

Three-dimensional finite-difference time-domain (FDTD) method simulation was used to confirm the performance of the designed NFVG in both near and far fields. Figure 2(b) shows the simulated far-field intensity distribution of the \( xy \) plane and the focal \( xy \) plane (\( z = 20 \mu \text{m} \)), where a zero intensity at the center resulting from the phase singularity of the helical phase indicates the successful generation of the optical vortex. In Fig. 2(d), the four field petals of instantaneous vortex field distribution are associated with a topological charge of 2. This is consistent with Fig. 2(e), where the spatial phase distribution with two evident abrupt phase jumps from \(-\pi\) to \(\pi\) within a \(2\pi\) azimuthal range further confirms that the generated far-field optical vortex has an integer topological charge of 2.

![Fig. 2](image-url)  
**Fig. 2**. NFVG design and the simulation results. (a) The nanoslit array of a designed NFVG that can generate SP vortex and far-field vortex with topological charge of \( l_1 = 3 \) and \( l_2 = 2 \) under illumination of LCP light at 671 nm, respectively. The inner radius \( R_1 \) and outer radius \( R_2 \) are set to be 3.5 and 9 \( \mu \text{m} \), and the distance between two adjacent nanoslits is \( d = 450 \text{ nm} \). (b) Simulated optical far-field intensity of cross-polarized light (i.e., RCP light) at the \(xz\) plane. The inset shows the intensity distribution at the focal \( xy\) plane (\( z = 20 \mu \text{m} \)). (c) Simulated \(|E_z|^2\) intensity distribution of SPPs in the near field on the surface of Au film. Simulated results of (d) instantaneous vortex field distribution and (e) spatial phase profile at \( z = 20 \mu \text{m} \) in the far field, respectively. Simulated results of (f) instantaneous \(E_z\) intensity distribution and (g) spatial phase profile for SPPs in the near field, respectively.
Similarly, in Fig. 2(c), the zero intensity at the center of the metasurface indicates the generation of the SP vortex. The instantaneous $E_z$ intensity distribution with six field petals shown in Fig. 2(f) indicates the topological charge of the SP vortex is 3, which agrees with the three evident abrupt phase jumps of phase distribution around the center point as shown in Fig. 2(g).

C. Experimental Results

As shown in Fig. 3(a), the designed NFVG was fabricated in a 120 nm thick Au film using focused ion beam (FIB) milling. The initial Au film was deposited on a glass substrate with electron-beam vapor deposition technology. All the parameters, including the size of the nanoslit and $R_1$, $R_2$ of the metasurface, are chosen to be the same as the FDTD simulations of Fig. 2. To experimentally demonstrate the ability of generating vortices in both the near and far fields simultaneously by this single metasurface, the near- and far-field optical measurements were implemented (see Appendix B for more details). As for the near-field characterization, SNOM was used to measure the propagation behavior of SPPs on the designed metasurface. Figure 3(d) shows the measured near-field distribution of SPPs when the metasurface is illuminated with an LCP incident beam of 671 nm, where the dark intensity spot at the center provides a direct evidence that an SP vortex (i.e., the near-field vortex) is successfully generated. Because the size of the primary ring of the SP vortex is a function of the vortex topological charge, that is, the size of the primary ring increases with topological charge, it is a practical method to judge the topological charge by comparing the primary ring size of the measured results with simulations, which has been proved as a practical method by previous studies [31,32]. To indicate that the topological charge of the generated SP vortex is consistent with the design, in Fig. 3(e), the intensity profiles of the experimental result along the $x$ and $y$ directions [corresponding to the blue and green dashed lines in Fig. 3(d), respectively] and the FDTD simulation result are plotted together and compared. The sizes of the primary ring for the experimental results are 0.956 and 0.929 μm in the $x$ and $y$ directions, respectively, which are close to the simulation result 0.880 μm. This further confirms that the near-field vortex carries a topological charge of 3 as designed. The minor size deviation of the measured result from the simulation could come from the experimental tolerance in the sample fabrication and measurements.

Figure 3(b) shows the far-field intensity distribution at the $xz$ plane and the focal $xy$ plane (shown by the white dashed line in the $xz$ plane) which was measured by a home-made far-field optical microscope. Similar to the near-field experimental result, the far-field distribution shows a dark spot at the center, which agrees well with the simulation results, and the measured focal length is consistent with the designed value $z_{\text{fo}}$. To further verify the far field is vortex, we measured the $x$-component intensity at $z = 30 \mu m$ [Fig. 2(c)], which shows a pair of spirals. The spirals result from the interference of LCP and RCP components in the scattered field. Because the NFVG is designed for LCP incidence, according to the Pancharatnam–Berry phase principle, the RCP component formed the focused vortex but the LCP component is unchanged. To observe obvious interference effect, the $x$-component intensity needs to be measured at a proper defocused plane ($z = 30 \mu m$), where

![Fig. 3. Experimental results of optical performances for the NFVG in the near and far fields with LCP incidence. (a) Scanning electron microscopy (SEM) images of the fabricated NFVG sample. The magnified SEM image shows the appearance of a single nanoslit. The scale bar is 5 μm. (b) Experimentally measured far-field intensity of cross-polarized light (RCP light) at the $xz$ plane. The inset shows the intensity distribution at the focal $xy$ plane ($z = 20 \mu m$) marked with a white dashed line in $xz$ profile. (c) Measured $x$-component of transmitted light at $z = 30 \mu m$. (d) SNOM measured optical near-field intensity distribution. Inset shows the zoom-in image of SPPs distribution at the center of the metasurface. (e) Comparison of the intensity profiles between measurement and simulation. The black dashed line corresponds to the simulation result. The blue and green solid lines show the field intensity along the blue and green dashed lines (i.e., $x$ and $y$ directions) in the SNOM result (d), respectively.](image-url)
the intensity of the vortex field decreases to the level matched with the LCP light [35,36]. As shown in Fig. 2(c), the spirals indicate a helical phase distribution of the far-field optical vortex and demonstrate the vortex with a topological charge of 2 as anticipated. The excellent agreement between the experimental measurements and simulation results confirms that the proposed metasurface performs well for generating vortices in the near and far fields.

Because the proposed method is unlimited for the topological charges of near- and far-field vortices in Eqs. (1) and (2), it is quite flexible for arbitrary configuration of near- and far-field vortex generation for the CP incident light with specific spin state ($\sigma = +1$ or $-1$, i.e., RCP or LCP light). To demonstrate the flexibility for different configurations of topological charges, another two samples with $l_1 = 2$, $l_2 = 3$ (Sample A) and $l_1 = 2$, $l_2 = 2$ (Sample B) were designed and fabricated. Other parameters of these two metasurfaces, including the geometric size of the nanoslit, $R_1$, $R_2$, and the far-field focal length $f_\lambda$, are the same as the former one shown in Fig. 3 (labeled as Sample C).

Figures 4(a1), 4(a3), and 4(a4) show the experimentally measured intensity distribution of both the near and far fields for Sample A, and the results of the other two samples are shown in corresponding figures in B and C. It can be observed that the focused vortex is successfully generated at the expected position in both the near and far fields for each sample and the primary ring size of the vortex increases with the rise of topological charge. In Figs. 4(a2), 4(b2), and 4(c2), the $x$-component intensity profiles give a clear demonstration of topological charges of 3, 2, and 2 for the far-field optical vortex of Samples A, B, and C. For the near field, by comparing the size of the primary ring of the SP vortex in Figs. 4(a4), 4(b4), and 4(c4) with the simulation results (see Fig. 8 in Appendix C), the topological charges of the SP vortex generated by Samples A, B, and C are 2, 2, and 3 as designed, which coincides with the simulated instantaneous $E_z$ distribution of SPPs as shown in Figs. 4(a5), 4(b5), and 4(c5) (see Figs. 6 and 7 in Appendix C). Moreover, although this NFVG is proposed to generate vortices in near- and far-field channels, it can also be configured to a bi-channel metalens that can focus light in both the near and far fields when the topological charges $l_1$ and $l_2$ are set to 0 (see Appendix D), which further indicates the flexibility and versatility of this method for various applications. It is worth noting that the topological charges $(l_1, l_2)$ are not limited for our proposed NFVG, and simulations of more topological charge configurations are presented in Fig. 10 in Appendix E.

3. CONCLUSION

In summary, we proposed and experimentally demonstrated an integrated bi-channel vortex generator of near- and far-field vortices within a single metasurface. With the designed circular polarization incidence, the NFVG can generate vortices with specific topological charges for both near-field SPPs and far-field scattered light simultaneously. Moreover, as the...
topological charges of near- and far-field vortices are independent in this strategy, arbitrary configuration of OAM topological charges can be integrated into this device, which makes it quite extensible for future photonic applications. Due to the simplicity and flexibility of this design, our work provides a great potential for the application of OAM light in future high-density and multifunctional integrated optical devices. For example, it can be used in layered metadevices in which the far-field vortex can couple to another metasurface layer for other functions. Another possible application is to manipulate particles or cells at the chip surface (near field) and a distance from the surface (far field) simultaneously, which may be of value in future biotechnology.

APPENDIX A: SAMPLE FABRICATION

To fabricate the designed metasurface, a standard electron beam evaporating and an FIB milling processes were used. First, the 120 nm thick Au film was deposited onto a glass substrate with a layer of 5 nm Ti used as adhesion layers at the glass–Au interface. Then nanoslit patterns of three designed NFVGs with topological charges \( l_1, l_2 \) = (3, 2), (2, 2), and (2, 3) were fabricated on the Au film by using FIB milling. The scanning electron microscopy image of the fabricated NFVG with topological charges (3, 2) is shown in Fig. 3(a), and the other two are provided in Figs. 6(a) and 6(b) in Appendix C.

APPENDIX B: EXPERIMENTAL MEASUREMENTS

A commercial SNOM (Nanonics, Multiview 2000) and a home-made microscope were used for near-field and far-field measurements, respectively. For both two field measurements, the initial incident laser beam at 671 nm was converted into LCP light by a cascaded polarizer and quarter-wave plate before illuminating onto the metasurface. For the near-field measurement, SPPs were measured by an SNOM probe which can collect SPP signals and couple them into propagating modes in the optical fiber. For the far field, the sample was mounted on a stage with an actuator, which is used to accurately control the movement of the sample along the optical axis (z axis). The transmitted light through metasurface was collected with an objective (40 x /0.75) and then passed through a cascaded quarter-wave plate and polarizer to filter out the LCP component. Subsequently, the filtered transmitted light of the cross-polarized component (i.e., the RCP light) passed through a tube lens and was imaged on a complementary metal-oxide semiconductor (CMOS) camera. To get the intensity distribution of the \( xz \) plane, a series of images of light intensity distribution on the \( xy \) plane were taken by moving the sample along the \( z \) direction with a fixed step, and then the intensity at \( y = 0 \) in each image was extracted to form the \( xz \) intensity distribution as shown in Figs. 3 and 4. A schematic of the optical setup of far-field measurement is shown in Fig. 5.

APPENDIX C: SIMULATION RESULTS OF SAMPLES A AND B

Figures 6 and 7 show the far-field and near-field simulations of Samples A and B, which indicate the generating of vortices in both fields. As shown in Fig. 8, the comparison of experimental results and simulations of these two samples shows that the measured profiles are 0.596 \( \mu \)m (Sample A) and 0.656 \( \mu \)m (Sample B), which are close to the simulation result.

Fig. 6. SEM images and far-field simulated results of Samples A and B. SEM images of fabricated (a) Sample A and (b) Sample B with FIB milling process. (c)–(h) Simulated far-field intensity distribution of RCP at the \( xz \) plane and corresponding intensity and phase distribution at the \( xy \) plane (\( z = 20 \mu \)m) for Sample A [(c), (e), and (f)] and Sample B [(d), (g), and (h)].
and confirms that the generated near-field vortex has a topological charge of 2 as designed.

APPENDIX D: BI-CHANNEL METALENS

As a special case, when the topological charges are zero, the NFVG degrades into a bi-channel metalens, which can focus light in both the near and far fields. To simulate this case, we set \( l_1 \) and \( l_2 \) as 0, and the other parameters are the same as the designed bi-channel vortex generator in Fig. 2. As shown in Fig. 9, it is observed that this bi-channel metalens performs well in both fields.

APPENDIX E: MORE CONFIGURATIONS OF \( l_1 \) AND \( l_2 \)

As shown in Fig. 10, more simulations are performed to demonstrate the flexibility of the proposed NFVG for different configurations of \( l_1 \) and \( l_2 \).
configurations of topological charges. For near-field vortices, topological charges are set as $\ell_1 = 0, 1, 2, 3, 4, 5, \text{and } 6. And the corresponding topological charges of far-field vortices are set as $\ell_2 = 6, 5, 4, 3, 2, 1, \text{and } 0. The other parameters are the same as the designed NFVG in Fig. 2. It can be observed that vortices are successfully generated in both near and far fields, and the instantaneous field distributions indicate the topological charges of generated vortices are consistent with the design.

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